Filed: November 13, 2000

On page 47, immediately preceding the claims, please insert the enclosed text entitled

"SEQUENCE LISTING".

REMARKS

Attached hereto is a marked-up version of the changes made to the specification

and claims by the current amendment. The attached page is captioned "Version with

markings to show changes made."

These amendments are made in adherence with 37 C.F.R. § 1.821-1.825. This

amendment is accompanied by a floppy disk containing the above named sequence,

SEQUENCE ID NUMBERS 1-19, in computer readable form, and a paper copy of the

sequence information. The computer readable sequence listing was prepared through

use of the software program "Patent-In" provided by the PTO. The information contained

in the computer readable disk is identical to that of the paper copy. This amendment

contains no new matter. Applicant submits that this amendment, the accompanying

computer readable sequence listing, and the paper copy thereof serve to place this

application in a condition of adherence to the rules 37 C.F.R. § 1.821-1.825.

The Commissioner is authorized to charge any fees, including extension fees, which

may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order

-6-

No. A-70036/RMS/JJD).

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Serial No.: 09/712,821 **Filed**: November 13, 2000

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

Dated: <u>June 7, 200</u>)

James J. Diehl, Reg. 47,527 for Robin M. Silva, Reg. 38,304

Four Embarcadero Center Suite 3400 San Francisco, CA 94111-4187 Telephone: (415) 781-1989 **Serial No.**: 09/712,821 **Filed**: November 13, 2000

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION

Paragraph beginning at page 4, line 14, has been amended as follows:

Figures 1A and 1B depict the germline € locus and sequence. Fig. 1A (SEQ ID NO:1) depicts the sequence of the human IL-4 inducible € promoter. Fig. 1B depicts the organization of the germline € locus. —

Paragraph beginning at page 4, line 17, has been amended as follows:

- Figures $\frac{2A \text{ and } 2A}{2A}$, 2B and 2C depict the regions (2A) and sequences (2B and 2C; SEQ ID NOS:2 and 3) of the switch ϵ (S ϵ) region that are used in methods of screening for proteins that interact with the S ϵ region, as described below. -

Paragraph beginning at page 5, line 28, has been amended as follows:

- Figures 11A, 11B and 11C (SEQ ID NOS:4, 5 and 6) depict preferred vectors and their sequences.-

Paragraph beginning at page 5, line 30, has been amended as follows:

- Figures 12A, 12B and 12C (SEQ ID NO:7) depict a construct useful in the present invention, comprising the a Fas survival construct (i.e. the use of a death gene). The sequence is of the inducible ε promoter-chimeric Fas-IRES-hygromycin-bovine growth hormone poly A tail that is put into the C12s vector backwards to that no leaky transcription happens through the cmv promoter. -

1053718 -8-

Filed: November 13, 2000

Paragraph beginning at page 5, line 35, has been amended as follows:

- Figures 13A, 13B and 13C (SEQ ID NO:8) depict a construct useful in the present invention,

comprising the a Fas survival construct (i.e. the use of a death gene). The sequence is of the inducible

e promoter-chimeric Fas (either CD8 or mLyt2)-IRES-hygromycin-bovine growth hormone poly A

tail that is put into the C12s vector backwards to that no leaky transcription happens through the cmv

promoter. -

Paragraph beginning at page 7, line 5, has been amended as follows:

- In a preferred embodiment, the invention provides methods of screening for bioactive agents capable

of modulating, particularly inhibiting, an IL-4 inducible € promoter. By "an IL-4 inducible promoter"

herein is meant a nucleic acid promoter that is induced by IL-4, putatively by binding an unknown

IL-4 induced DNA binding protein that results in induction of the promoter; that is, the introduction

of IL-4 causes the pronounced activation of a particular DNA binding protein that then binds to the

IL-4 inducible promoter segment and induces transcription. The sequence of the human IL-4 inducible

promoter is shown in Figure 4 1A (SEQ ID NO:1), and as will be appreciated by those in the art,

derivatives or mutant promoters are included within this definition. Particularly included within the

definition of an IL-4 inducible promoter are fragments or deletions of the sequence shown in Figure

+ 1A (SEQ ID NO:1). As is known in the art, the IL-4 inducible promoter is also inducible by IL-13.

By "modulating an IL-4 inducible promoter" herein is meant either an increase or a decrease (inhibition)

of promoter activity, for example as measured by the presence or quantification of transcripts or

of translation products. By "inhibiting an IL-4 inducible promoter" herein is meant a decrease in

promoter activity, with changes of at least about 50% being preferred, and at least about 90% being

particularly preferred. -

Paragraph beginning at page 24, line 26, has been amended as follows:

-9-1053718

Filed: November 13, 2000

- A preferred coiled-coil presentation structure is as follows:

MGCAALESEVSALESEVASLESEVAALGRGDMPLAAVKSKLSAVKSKLASVKSKLAACGPP (SEQ

ID NO:9). The underlined regions represent a coiled-coil leucine zipper region defined previously

(see Martin et al., EMBO J. 13(22):5303-5309 (1994), incorporated by reference). The bolded GRGDMP

(SEQ ID NO:10) region represents the loop structure and when appropriately replaced with randomized

peptides (i.e. candidate bioactive agents, generally depicted herein as (X),, where X is an amino acid

residue and n is an integer of at least 5 or 6) can be of variable length. The replacement of the bolded

region is facilitated by encoding restriction endonuclease sites in the underlined regions, which allows

the direct incorporation of randomized oligonucleotides at these positions. For example, a preferred

embodiment generates a Xhol site at the double underlined LE site and a Hindlll site at the double-

underlined KL site. -

Paragraph beginning at page 25, line 10, has been amended as follows:

preferred minibody presentation as follows: structure is

MGRNSQATSGFTFSHFYMEWVRGGEYIAASRHKHNKYTTEYSASVKGRYIVSRDTSQSILYLQ

KKKGPP (SEQ ID NO:11). The bold, underline regions are the regions which may be randomized.

The italized italicized phenylalanine must be invariant in the first randomizing region. The entire peptide

is cloned in a three-oligonucleotide variation of the coiled-coil embodiment, thus allowing two different

randomizing regions to be incorporated simultaneously. This embodiment utilizes non-palindromic

BstXI sites on the termini.-

Paragraph beginning at page 26, line 1, has been amended as follows:

- In a preferred embodiment, the targeting sequence is a nuclear localization signal (NLS). NLSs

NLSes are generally short, positively charged (basic) domains that serve to direct the entire protein

-10-1053718

Filed: November 13, 2000

in which they occur to the cell's nucleus. Numerous NLS amino acid sequences have been reported

including single basic NLS's NLSes such as that of the SV40 (monkey virus) large T Antigen (Pro

Lys Lys Arg Lys Val (SEQ ID NO:12)), Kalderon (1984), et al., Cell, 39:499-509; the human retinoic

acid receptor-ß nuclear localization signal (ARRRRP (SEQ ID NO:13)); NFkB p50 (EEVQRKRQKL

(SEQ ID NO:14); Ghosh et al., Cell 62:1019 (1990)); NFKB p65 (EEKRKRTYE (SEQ ID NO:15); Nolan

et al., Cell 64:961 (1991)); and others (see for example Boulikas, J. Cell. Biochem. 55(1):32-58 (1994),

hereby incorporated by reference) and double basic NLS's NLSes exemplified by that of the Xenopus

(African clawed toad) protein, nucleoplasmin (Ala Val Lys Arg Pro Ala Ala Thr Lys Lys Ala Gly Gln

Ala Lys Lys Lys Lys Leu Asp (SEQ ID NO:16), Dingwall, et al., Cell, 30:449-458, 1982 and Dingwall,

et al., J. Cell Biol., 107:641-849; 1988). Numerous localization studies have demonstrated that NLSs

NLSes incorporated in synthetic peptides or grafted onto reporter proteins not normally targeted to

the cell nucleus cause these peptides and reporter proteins to be concentrated in the nucleus. See,

for example, Dingwall, and Laskey, Ann, Rev. Cell Biol., 2:367-390, 1986; Bonnerot, et al., Proc. Natl.

Acad. Sci. USA, 84:6795-6799, 1987; Galileo, et al., Proc. Natl. Acad. Sci. USA, 87:458-462, 1990.-

Paragraph beginning at page 26, line 29, has been amended as follows:

- In a preferred embodiment, the fusion partner is a stability sequence to confer stability to the

candidate bioactive agent or the nucleic acid encoding it. Thus, for example, peptides may be stabilized

by the incorporation of glycines after the initiation methionine (MG or MGG0), for protection of the

peptide to ubiquitination as per Varshavsky's N-End Rule, thus conferring long half-life in the cytoplasm.

Similarly, two prolines at the C-terminus impart peptides that are largely resistant to carboxypeptidase

action. The presence of two glycines prior to the prolines impart both flexibility and prevent structure

initiating events in the di-proline to be propagated into the candidate peptide structure. Thus, preferred

stability sequences are as follows: MG(X), GGPP (SEQ ID NO:17), where X is any amino acid and

n is an integer of at least four. -

-11-1053718

Filed: November 13, 2000

Paragraph beginning at page 28, line 4, has been amended as follows:

- In a preferred embodiment, the fusion partner includes a linker or tethering sequence, as generally

described in PCT US 97/01019, that can allow the candidate agents to interact with potential targets

unhindered. For example, when the candidate bioactive agent is a peptide, useful linkers include

glycine-serine polymers (including, for example, (GS)_n, (GSGGS)_n (SEQ ID NO:18) and (GGGS)_n

(SEQ ID NO:19), where n is an integer of at least one), glycine-alanine polymers, alanine-serine

polymers, and other flexible linkers such as the tether for the shaker potassium channel, and a large

variety of other flexible linkers, as will be appreciated by those in the art. Glycine-serine polymers

are preferred since both of these amino acids are relatively unstructured, and therefore may be able

to serve as a neutral tether between components. Secondly, serine is hydrophilic and therefore able

to solubilize what could be a globular glycine chain. Third, similar chains have been shown to be

effective in joining subunits of recombinant proteins such as single chain antibodies. -

On page 47, immediately preceding the claims, the enclosed text entitled "SEQUENCE

LISTING" was inserted into the text.

-12-

1053718